

Reporting Required by the Securities Exchange Act

[Accessibility](#)

BANK OZK (FDIC Certificate Number: 110)
LITTLE ROCK, AR

Form 4 Confirmation Number: EFR33526

Submission Date: 03/14/2023 4:13 PM
Filing Date: 03/14/2023 4:13 PM

Your filing has been submitted and will be published on the FDIC.gov web site under Industry Analysis, Bank Data & Statistics. The confirmation number for your filing is included above and the contents of the filing are included below. Please print a copy of this screen for your records and have the appropriate person(s) sign a paper copy of this filing. When printing, you should select Landscape orientation (not Portrait). To add another filing, click **Submit Another Filing** on the bottom of the screen.

Form 4

Statement of Changes in Beneficial Ownership of Securities
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

OMB Number: 3084-0030

Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Issuer Name	Ticker or Trading Symbol	Date of Earliest Transaction Required to be Reported	If Amendment, Date of Original Filing
Bank OZK	OZK	03/10/2023	

Filer Information

Name of Reporting Person	Street Address	City	State	ZIP Code	Relationship of Reporting Person to Issuer
ALAN JESSUP	18000 CANTRELL ROAD	LITTLE ROCK	AR	72223	Officer Description: CHIEF LENDING OFFICER

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security	Transaction Date	Deemed Execution Date	Transaction Code	Y	Amount of Securities Acquired or Disposed of	Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions	Ownership Form	Nature of Indirect Beneficial Ownership
COMMON STOCK	03/10/2023		A		9,695 Shares (A)		17718 Shares	Direct	
COMMON STOCK	03/10/2023		F		2,961 Shares (D)	\$36.29	14757 Shares	Direct	
COMMON STOCK							12974 Shares	Indirect	SHARES HELD IN 401K AT 3/10/2023

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	Y	Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
------------------------------	---	------------------	-----------------------	------------------	---	---	------------------	-----------------	--------------------------------	---------------------------------	------------------------------	--	---	---

There are no Derivative Securities

Explanation of Responses

NOTE FOR FIRST TRANSACTION IN TABLE I: On January 20, 2020, the Reporting Person was granted performance-based Restricted Stock Units ("PSUs"). The PSUs are earned at 0% - 200% of the target grant award based on the Issuer's achievement of performance goals during a three-year performance period from January 1, 2020 through December 31, 2022, relative to a peer group and vest on the date the Compensation Committee certifies the level of achievement following the end of the performance period. On March 10, 2023, the Compensation Committee certified the level of achievement of the performance goals ("PSU Vesting Date") under the 2020 grant. The earned and vested PSUs will be settled by delivery of the Issuer's common stock (1) year after the PSU Vesting Date. NOTE FOR SECOND TRANSACTION IN TABLE I: These shares were withheld by the Issuer in order to satisfy certain tax withholding obligations in connection with the release and net settlement of previously reported 2019 PSU award in accordance with Rule 16b-3(e).

* Signed by: _____ /s/ Jillian Yant as POA for Alan Jessup Date: 03/14/2023 ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5th Floor, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See, 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Exhibit Information

There is no Power of Attorney information

There is no Other information