

## Form 4: Preview

The information entered for your filing is included below. Please review all information entered. If the filing is complete, complete the Signed By and Date fields and then click **Submit Filing**. If you need to make changes, click **Edit Filing**.

Form 4

**Statement of Changes in Beneficial Ownership of Securities**  
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091  
FDIC OMB Number: 3064-0030  
OCC OMB Number: 1557-0106  
OTS OMB Number: 1550-0019

### Filing Information

No longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Issuer Name	Ticker or Trading Symbol	Date of Earliest Transaction Required to be Reported	If Amendment, Date of Original Filing
Bank OZK	OZK	02/23/2021	

### Filer Information

Name of Reporting Person	Street Address	City	State	ZIP Code	Relationship of Reporting Person to Issuer
Timothy D Hicks	18000 Cantrell Road	Little Rock	AR	72223	Officer Description: Chief Credit and Administrative Officer

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security	Transaction Date	Deemed Execution Date	Transaction Code	V	Amount of Securities Acquired or Disposed of	Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions	Ownership Form	Nature of Indirect Beneficial Ownership
COMMON STOCK	02/23/2021		M		4,200 Shares (A)	\$36.05	35627 Shares	Direct	
COMMON STOCK	02/23/2021		S		4,200 Shares (D)	\$41.01	31427 Shares	Direct	
COMMON STOCK							9398 Shares	Indirect	Shares held in 401k at 02/23/2021
COMMON STOCK							10 Shares	Indirect	Shares held by Child

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	V	Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
EMPLOYEE STOCK OPTION RIGHT TO BUY	\$36.05	02/23/2021		M		4,200 Shares (D)	11/17/2017	11/17/2021	COMMON STOCK	4,200 Shares		0	Direct	

### Explanation of Responses

\* Signed by:  ✓ Date (mm/dd/yyyy):  ✓

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St. NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.  
\* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Exhibit Information

There is no Power of Attorney information

There is no Other information

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