7/25/2021 Document Contents

Toggle SGML Header (+)

Section 1: 4 (FORM 4)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden
hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility continue. See

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Instruction 1(b).
(Print or Type Responses)

1. Name and Address of Repo GLEASON LINDA D	2. Issuer Nar BANK OF T			_	-		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
17901 CHENAL PARK	3. Date of Earlie 10/31/2014	st Transact	ion (M	Ionth/Day	Year)		Officer (give title below) Other (specify below)					
LITTLE ROCK, ARÂ	4. If Amendmen	t, Date Ori	ginal F	iled (Mont	n/Day/Yea	ar)	6. Individual or Joint/Group Filing (Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting Person					
(City) (State) (Zip)				Tab	le I - I	Non-Deriv	ative S	Securities Acqui	ired, Disposed of, or Beneficially Owned			
(Instr. 3) D		Date	2A. Deemed Execution Date, if any (Month/Day/Year)	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership	
			(Monul/Day/Tear)	Code	V	Amount	(A) or (D)	Price	(IIISU. 3 and 4)	()	(Instr. 4)	
Common Stock		10/31/2014	Â	M	Â	21,600	A	\$11.8425	5,954,369	I	Spouse's Dir/Indir Ownership	
Common Stock		10/31/2014	Â	S	Â	21,600	D	\$35.3583 ⁽¹⁾	5,932,769	I	Spouse's Dir/Indir Ownership	
Common Stock		11/03/2014	Â	М	Â	42,400	A	\$11.8425	5,975,169	I	Spouse's Dir/Indir Ownership	
Common Stock		11/03/2014	Â	S	Â	42,400	D	\$35.4719 ⁽²⁾	5,932,769	I	Spouse's Dir/Indir Ownership	
Common Stock		Â	Â	Â	Â	Â	Â	Â	157,632	D	Â	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				(8	, p.	,	,	, ор,	,						
1. Title of	2.	3. Transaction	3A. Deemed	4.		5. N	umber of	6. Date Exerci	sable and	7. Title and	Amount	8. Price of	9. Number of	10.	11. Nature of
Derivative	Conversion	Date	Execution Date, if	Transa	ction	Deri	vative	Expiration Dat	te	of Underlyin	ng	Derivative	Derivative	Ownership	Indirect
Security	or Exercise	(Month/Day/Year)	any	Code		Secu	ırities	(Month/Day/Y	ear)	Securities		Security	Securities	Form of	Beneficial
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3)	Acq	uired (A)			(Instr. 3 and	4)	(Instr. 5)	Beneficially	Derivative	Ownership
	Derivative						isposed						Owned	Security:	(Instr. 4)
	Security					of (I	O)						Following	Direct (D)	
							tr. 3, 4,						Reported	or Indirect	
						and	5)						Transaction(s)		
											Amount		(Instr. 4)	(Instr. 4)	
								Dete	Paratas Atau		or				
								Date Exercisable	Expiration Date	Title	Number				
								Exercisable	Date		of				
				Code	V	(A)	(D)				Shares				
Employee															
Stock															Spouse's
Option	\$11.8425	10/31/2014	Â	M	Â	â	21 600	10/18/2014	10/18/2018	Common	21 600	\$ 0	42,400	ī	Dir/Indir
	\$11.0423	10/31/2014	Λ	1V1	А	А	21,000	10/16/2014	10/10/2018	Stock	21,000	\$ 0	42,400	1	Ownership
(Right to															Ownership
Buy)															
Employee	\$11.8425	11/03/2014	Â	M	Â	Â	42,400	10/18/2014	10/18/2018	Common	42,400	\$ 0	0	I	Spouse's
Stock										Stock					Dir/Indir
Option															Ownership
1															
				ĺ							ĺ				

(Right to							l
Buy)							

Reporting Owners

Penenting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
GLEASON LINDA D 17901 CHENAL PARKWAY P.O. BOX 8811 LITTLE ROCK, AR 72231-8811	ÂX	Â	Â	Â				

Signatures

/s/ Linda D. Gleason	11/04/2014	4
**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple trades on October 31, 2014 at prices ranging from \$35.25 to \$35.43, inclusive. The reporting person (1) hereby undertakes to provide to Bank of the Ozarks, Inc., a shareholder of Bank of the Ozarks, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares and prices at which the transaction was effected.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple trades on November 03, 2014 at prices ranging from \$35.40 to \$35.58, inclusive. The reporting (2) person hereby undertakes to provide to Bank of the Ozarks, Inc., a shareholder of Bank of the Ozarks, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (Back To Top)