

Form 4 Confirmation Number: EFR34440

Submission Date: 05/10/2024 4:03 PM
 Filing Date: 05/10/2024 4:03 PM

Your filing has been submitted and will be published on the FDIC.gov web site under Industry Analysis, Bank Data & Statistics. The confirmation number for your filing is included above and the contents of the filing are included below. Please print a copy of this screen for your records and have the appropriate person(s) sign a paper copy of this filing. When printing, you should select Landscape orientation (not Portrait). To add another filing, click [Submit Another Filing](#) on the bottom of the screen.

Form 4 - 6800/04

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|--|--|
| OMB Number: 3084-0030 | |
| Expires: 4/30/2026 | |
| Estimated average burden hours per response: 0.5 | |

Filing Information

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

| Issuer Name | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | If Amendment, Date of Original Filing |
|-------------|--------------------------|--|---------------------------------------|
| Bank OZK | OZK | 05/09/2024 | |

Filer Information

| Name of Reporting Person | Street Address | City | State | ZIP Code | Relationship of Reporting Person to Issuer |
|--------------------------|---------------------|-------------|-------|----------|--|
| SCOTT TRAPANI | 18000 CANTRELL ROAD | LITTLE ROCK | AR | 72223 | Officer Description: CHIEF RISK OFFICER |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | <input checked="" type="checkbox"/> V | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership |
|-------------------|------------------|-----------------------|------------------|---------------------------------------|--|---|---|----------------|---|
| COMMON STOCK | 05/09/2024 | | S | | 5,611 Shares (D) | \$47.30 | 8738 Shares | Direct | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | <input checked="" type="checkbox"/> V | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
|------------------------------|---|------------------|-----------------------|------------------|---------------------------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
|------------------------------|---|------------------|-----------------------|------------------|---------------------------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|

There are no Derivative Securities

Explanation of Responses

All shares in Table I were sold on May 09, 2024 in multiple trades at prices ranging from \$47.30 to \$47.33 inclusive. The reporting person hereby undertakes to provide to Bank OZK, a shareholder of Bank OZK or the staff of the Federal Deposit Insurance Corporation, upon request, full information regarding the number of shares and prices at which the transaction was effected.

* Signed by: _____ /s/ Aaron Brooks as POA for Scott Trapani Date: 05/10/2024 ✓

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations: See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit Information

There is no Power of Attorney information

There is no Other information

Form 4: Preview

The information entered for your filing is included below. Please review all information entered. If the filing is complete, complete the Signed By and Date fields and then click **Submit Filing**. If you need to make changes, click **Edit Filing**.

Form 4 - 6800/04

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of
 the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|---|--|
| OMB Number: 3204-0030 | |
| Expires: 4/30/2026 | |
| Estimated average burden hours per response: 0.5 | |

Filing Information

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Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

| Issuer Name | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | If Amendment, Date of Original Filing |
|-------------|--------------------------|--|---------------------------------------|
| Bank OZK | OZK | 05/09/2024 | |

Filer Information

| Name of Reporting Person | Street Address | City | State | ZIP Code | Relationship of Reporting Person to Issuer |
|--------------------------|---------------------|-------------|-------|----------|--|
| SCOTT TRAPANI | 10000 CANTRELL ROAD | LITTLE ROCK | AR | 72223 | Officer Description: CHIEF RISK OFFICER |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership |
|-------------------|------------------|-----------------------|------------------|--|---|---|----------------|---|
| COMMON STOCK | 05/09/2024 | | S | 5,611 Shares (D) | \$47.30 | 8738 Shares | Direct | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
|------------------------------|---|------------------|-----------------------|------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
|------------------------------|---|------------------|-----------------------|------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|

There are no Derivative Securities

Explanation of Responses

All shares in Table I were sold on May 09, 2024 in multiple trades at prices ranging from \$47.30 to \$47.33 inclusive. The reporting person hereby undertakes to provide to Bank OZK, a shareholder of Bank OZK or the staff of the Federal Deposit Insurance Corporation, upon request, full information regarding the number of shares and prices at which the transaction was effected.

* Signed by: *Scott Trapani (as PM)* Date (mm/dd/yyyy): *5/10/24*

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 706(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Exhibit Information

There is no Power of Attorney information

There is no Other information

I acknowledge that I am an authorized FDICConnect Bank Member. The Securities Exchange Act filings that I am uploading are intended to be made public promptly.

Katrina Taylor

From: Scott Trapani
Sent: Friday, May 10, 2024 11:11 AM
To: Katrina Taylor
Cc: Helen Brown; Aaron Brooks; Jillian Yant
Subject: RE: FORM 4 - SCOTT TRAPANI

"Please sign Form 4 on my behalf pursuant to the Power of Attorney on file."



Scott Trapani
Chief Risk Officer
18000 Cantrell Road, Little Rock, AR 72223
O: 501-906-7803 | M: 404-862-9781 | IP: 100-7803
scott.trapani@ozk.com
ozk.com
  

From: Katrina Taylor <katrina.taylor@ozk.com>
Sent: Thursday, May 9, 2024 4:27 PM
To: Scott Trapani <scott.trapani@ozk.com>
Cc: Helen Brown <helen.brown@ozk.com>; Aaron Brooks <aaron.brooks@ozk.com>; Jillian Yant <jillian.yant@ozk.com>
Subject: FORM 4 - SCOTT TRAPANI

Good Afternoon,

Attached for your review is a draft Form 4.

Once you have reviewed the form and see no necessary changes, please either:

1. Sign the form yourself and reply to this email the executed copy attached, or
2. Respond to this email with the statement – "Please sign Form 4 on my behalf pursuant to the Power of Attorney on file."

Thanks,
Kat



Katrina Taylor
Administrative Assistant-Tax
18000 Cantrell Road, Little Rock, AR 72223
O: 501-320-4090 | IP: 100-4090
katrina.taylor@ozk.com
ozk.com
  

Form 4: Preview

The information entered for your filing is included below. Please review all information entered. If the filing is complete, complete the Signed By and Date fields and then click Submit Filing. If you need to make changes, click Edit Filing.

Form 4 - 6800/04

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| |
|--|
| OMB APPROVAL |
| OMB Number: 3084-0030 |
| Expires: 4/30/2028 |
| Estimated average burden hours per response .05 |

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|------------------------------|---|------------------|-----------------------|------------------|-------------------------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
|------------------------------|---|------------------|-----------------------|------------------|-------------------------------------|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|

There are no Derivative Securities

Explanation of Responses

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* Signed by: ✓ Date (mm/dd/yyyy): ✓

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit Information

There is no Power of Attorney information

There is no Other information

I acknowledge that I am an authorized FDICConnect Bank Member. The Securities Exchange Act filings that I am uploading are intended to be made public promptly.

Katrina Taylor

From: Admin Support <adminsupport@siebert.com>
Sent: Thursday, May 9, 2024 4:02 PM
To: Jillian Yant; Katrina Taylor
Cc: Admin Support
Subject: Section 16 - Trade Executions 5/9/24

NOTICE: External Email - Sender is adminsupport@siebert.com. Please MOUSE HOVER all links and DO NOT open attachments unless verified by the sender that they are legitimate. Additionally, always verify customer requests via out-of-band communication before processing any financial requests.

Good afternoon,

Here are the reportable trade executions that took place today:

| Name | Lot Quantity | Exec.Price | Trade Date | Trade Summary (Weighted Avg: \$47.3018) |
|-------------------------|--------------|----------------|---------------|---|
| Scott A. Trapani | 5611 | 47.3018 | 5/9/24 | Total Proceeds: \$265,410.55 |
| Scott A. Trapani | 12 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 1 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 6 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 5 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 5109 | 47.3000 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 14 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 12 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 200 | 47.3202 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 100 | 47.3300 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 5 | 47.3200 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 21 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 12 | 47.3200 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 12 | 47.3100 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 6 | 47.3200 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
| Scott A. Trapani | 90 | 47.3200 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |

| | | | | |
|------------------|---|---------|--------|--|
| Scott A. Trapani | 6 | 47.3200 | 5/9/24 | Trade 1 - Total OZK Shares Sold: 5,611 |
|------------------|---|---------|--------|--|

Please let me know if you need anything else for your Form 4 reporting.

Best Regards,
Kelsey

Siebert

Corporate Services Department

T: 800.338.3965 | D: 713.350.3760 | F: 402.342.2486

18045 Oak Street, Omaha, NE 68130, United States

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Katrina Taylor

From: Scott Trapani
Sent: Thursday, May 9, 2024 10:51 AM
To: Tim Hicks
Cc: Helen Brown; Jillian Yant; Katrina Taylor
Subject: RE: OZK Share Sale - Seibert

Sensitivity: Confidential

Here is the information.

05/09/2024 11:46:23.000 AM SC1129404-2 77000623 OZK 5,611 Sell 5,611 \$47.3018 ORDEXE DAY Market SEB 0020



Scott Trapani
Chief Risk Officer
18000 Cantrell Road, Little Rock, AR 72223
O: 501-906-7803 | M: 404-862-9781 | IP: 100-7803
scott.trapani@ozk.com
ozk.com



From: Tim Hicks <tim.hicks@ozk.com>
Sent: Thursday, May 9, 2024 10:50 AM
To: Scott Trapani <scott.trapani@ozk.com>
Cc: Helen Brown <helen.brown@ozk.com>; Jillian Yant <jillian.yant@ozk.com>; Katrina Taylor <katrina.taylor@ozk.com>
Subject: RE: OZK Share Sale - Seibert
Sensitivity: Confidential

We will need the details of the selling prices per share.



Tim Hicks
Chief Financial Officer
18000 Cantrell Road, Little Rock, AR 72223
O: 501-978-2336 | M: 501-912-5479 | IP: 100-2336
tim.hicks@ozk.com
ozk.com



From: Scott Trapani <scott.trapani@ozk.com>
Sent: Thursday, May 9, 2024 10:47 AM
To: Tim Hicks <tim.hicks@ozk.com>
Cc: Helen Brown <helen.brown@ozk.com>; Jillian Yant <jillian.yant@ozk.com>; Katrina Taylor <katrina.taylor@ozk.com>

Subject: RE: OZK Share Sale - Seibert

Sensitivity: Confidential

Thank you. Here are the details:

Time Order Placed

Order Number

TRAPANI SCOTT A (77000623)

Thursday, May 9, 2024 11:46:22 AM EDT

SC1129404-2

Please retain this number for your records. This number may be required by your broker to answer any questions you may have regarding this order. Your order reference number is not a confirmation that your order has been executed.

Available buying power for MARKET ORDERS submitted during non-market hours is calculated based on the previous day's closing prices. Market conditions, volatility and higher opening prices may cause you to exceed available buying power.

Order Details

| | |
|------------------|------------------------------|
| Reference Number | SC1129404-2 |
| Account | 77000623 |
| Account Name | TRAPANI SCOTT A (77000623) |
| Order Currency | USD |
| Account Type | Cash |
| Brokerage | Muriel Siebert & Co., Inc. |
| Region Number | |
| Branch Number | 770 |
| Broker Number | D53 |
| User ID | strapani |
| Order Date/Time | Thu May 09 11:46:22 EDT 2024 |
| Buy/Sell | Sell |
| Quantity | 5,611 |
| Security Type | Stock |
| Symbol | OZK |
| Price | Market |
| Duration | Day Only |



Scott Trapani
Chief Risk Officer
18000 Cantrell Road, Little Rock, AR 72223
O: 501-906-7803 | M: 404-862-9781 | IP: 100-7803
scott.trapani@ozk.com
ozk.com



From: Tim Hicks <tim.hicks@ozk.com>

Sent: Thursday, May 9, 2024 10:45 AM

To: Scott Trapani <scott.trapani@ozk.com>

Cc: Helen Brown <helen.brown@ozk.com>; Jillian Yant <jillian.yant@ozk.com>; Katrina Taylor <katrina.taylor@ozk.com>

Subject: RE: OZK Share Sale - Seibert

Sensitivity: Confidential

You are approved. Please send us the transaction details once they are sold, so Kat can prepare the form 4.



Tim Hicks

Chief Financial Officer

18000 Cantrell Road, Little Rock, AR 72223

O: 501-978-2336 | M: 501-912-5479 | IP: 100-2336

tim.hicks@ozk.com

ozk.com



From: Scott Trapani <scott.trapani@ozk.com>

Sent: Thursday, May 9, 2024 10:42 AM

To: Tim Hicks <tim.hicks@ozk.com>

Cc: Helen Brown <helen.brown@ozk.com>

Subject: OZK Share Sale - Seibert

Sensitivity: Confidential

Tim/Helen, I need to sell 5,611 shares of OZK from my Seibert account. Please let me know if approved.



Scott Trapani

Chief Risk Officer

18000 Cantrell Road, Little Rock, AR 72223

O: 501-906-7803 | M: 404-862-9781 | IP: 100-7803

scott.trapani@ozk.com

ozk.com



Scott Trapani

| | As of 5/9/2024 |
|---|--------------------------|
| Form 4 Holdings (Section 16) | |
| <hr/> | |
| Direct Ownership | |
| Individually Owned | - |
| TD Ameritrade IRA | - |
| Unvested Restricted Stock | - |
| Vested, Unreleased PSUs | 8,738 |
| Subtotal Direct Ownership | <hr/> 8,738 <hr/> |
| Indirect Ownership | |
| Shares held in 401(k) | - |
| Shares held by Spouse 401(k) | - |
| | - |
| Subtotal Indirect Ownership | <hr/> - <hr/> |
| Total Form 4 Holdings (Section 16) | <hr/> 8,738 <hr/> |
| | |
| Additional Proxy Holdings (Section 13) | |
| Options vested, not exercised | - |
| Subtotal Additional Proxy Holdings | <hr/> - <hr/> |
| Total Proxy Holdings (Section 13) | <hr/> 8,738 <hr/> |
| | |
| Options Not Yet Vested | - |
| PSUs Not Yet Vested | 23,734 |

| Officer | Type | Account | Grant Year | Action | Amount | Date | 401(k) Bal |
|---------------|--------|---------------------------|------------|-----------------------|---------|------------|------------|
| Scott Trapani | Shares | Unvested Restricted Stock | 2020 | Award | 5,482 | 1/22/2020 | |
| Scott Trapani | Shares | Unvested PSUs | 2020 | Award | 4,357 | 1/22/2020 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Purchase | 2,000 | 3/9/2020 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Purchase | 29 | 4/21/2020 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Purchase | 23 | 7/21/2020 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Purchase | 26 | 10/19/2020 | |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 12/31/2020 | - |
| Scott Trapani | Shares | TD Ameritrade IRA | | Purchase | 16 | 1/22/2021 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Sale | (590) | 1/27/2021 | |
| Scott Trapani | Shares | TD Ameritrade IRA | | Sale | (1,500) | 1/27/2021 | |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 1/27/2021 | - |
| Scott Trapani | Shares | Unvested PSUs | 2021 | Award | 4,828 | 1/27/2021 | |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 2/25/2021 | - |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 12/31/2021 | - |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 1/21/2022 | - |
| Scott Trapani | Shares | Unvested PSUs | 2022 | Award | 6,536 | 1/26/2022 | |
| Scott Trapani | Shares | 401(k) | | Update 401(k) | - | 3/7/2022 | - |
| Scott Trapani | Shares | TD Ameritrade IRA | | Sale | (4) | 5/5/2022 | |
| Scott Trapani | Shares | Unvested Restricted Stock | 2020 | Vested r/s | (5,482) | 1/22/2023 | |
| Scott Trapani | Shares | Individually Owned | 2020 | Vested r/s | 5,482 | 1/22/2023 | |
| Scott Trapani | Shares | Individually Owned | | Sale-Taxes w/h on r/s | (1,771) | 1/22/2023 | |
| Scott Trapani | Shares | Individually Owned | | Sale | (3,711) | 1/25/2023 | |
| Scott Trapani | Shares | Unvested PSUs | 2023 | Award | 8,236 | 1/26/2023 | |
| Scott Trapani | Shares | Unvested PSUs | 2020 | Vested PSUs | (4,357) | 3/10/2023 | |
| Scott Trapani | Shares | Vested, Unreleased PSUs | 2020 | Vested PSUs | 7,624 | 3/10/2023 | |
| Scott Trapani | Shares | Unvested PSUs | 2024 | Award | 8,962 | 1/25/2024 | |
| Scott Trapani | Shares | Unvested PSUs | 2021 | Vested PSUs | (4,828) | 3/10/2024 | |
| Scott Trapani | Shares | Vested, Unreleased PSUs | 2021 | Vested PSUs | 8,738 | 3/10/2024 | |
| Scott Trapani | Shares | Vested, Unreleased PSUs | 2020 | Released PSUs | (7,624) | 3/10/2024 | |
| Scott Trapani | Shares | Individually Owned | 2020 | Released PSUs | 7,624 | 3/10/2024 | |
| Scott Trapani | Shares | Individually Owned | 2020 | Withheld for Taxes | (2,013) | 3/10/2024 | |
| Scott Trapani | Shares | Individually Owned | | Sale | (5,611) | 5/9/2024 | |