

Reporting Required by the Securities Exchange Act

BANK OZK (FDIC Certificate Number: 110)
LITTLE ROCK, AR

[Accessibility](#)

Form 4 Confirmation Number: EFR34424

Submission Date: 05/08/2024 4:14 PM

Filing Date: 05/08/2024 4:14 PM

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Form 4 - 6800/04

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3094-0030
Expires: 4/30/2026
Estimated average burden
hours per response: 0.5

Filing Information

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

| Issuer Name | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | # Amendment, Date of Original Filing |
|-------------|--------------------------|--|--------------------------------------|
| Bank OZK | OZK | 05/06/2024 | |

Filer Information

| Name of Reporting Person | Street Address | City | State | ZIP Code | Relationship of Reporting Person to Issuer |
|--------------------------|---------------------|-------------|-------|----------|--|
| WILLIAM A KOEFOED | 18000 CANTRELL ROAD | LITTLE ROCK | AR | 72223 | Director Description: |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | V | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership |
|-------------------|------------------|-----------------------|------------------|---|--|---|---|----------------|---|
| COMMON STOCK | 05/06/2024 | | A | | 1,682 Shares (A) | | 18131 Shares | Direct | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | V | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
|------------------------------------|---|------------------|-----------------------|------------------|---|---|------------------|-----------------|--------------------------------|---------------------------------|------------------------------|--|---|---|
| There are no Derivative Securities | | | | | | | | | | | | | | |

Explanation of Responses

These shares were issued under the Bank's 2019 Omnibus Equity Incentive Plan in accordance with Rule 16b-3(d) and are subject to a substantial risk of forfeiture until vested. These shares will vest 100% on the earliest to occur of (i) 05/06/2025 or (ii) the day immediately prior to the day of the Bank's first annual meeting of shareholders following the grant date.

* Signed by: _____ /s/ Aaron Brooks as POA for William Koefoed Date: 05/08/2024 ✓

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 8 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit Information

There is no Power of Attorney information

There is no Other information