

Form 4: Preview

The information entered for your filing is included below. Please review all information entered. If the filing is complete, complete the Signed By and Date fields and then click **Submit Filing**. If you need to make changes, click **Edit Filing**.

Form 4

Statement of Changes in Beneficial Ownership of Securities
Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091
FDIC OMB Number: 3064-0030
OCC OMB Number: 1557-0106
OTS OMB Number: 1550-0019

| Filing Information | | | | | | | | | | | | | | |
|---|---|--|-----------------------|------------------|--|---|---|-----------------|---|---------------------------------|------------------------------|--|---|---|
| No longer subject to Section 16. Form 4 or Form 5 obligations may continue. <input type="checkbox"/> | | | | | | | | | | | | | | |
| Issuer Name | Ticker or Trading Symbol | Date of Earliest Transaction Required to be Reported | | | If Amendment, Date of Original Filing | | | | | | | | | |
| Bank OZK | OZK | 11/04/2021 | | | | | | | | | | | | |
| Filer Information | | | | | | | | | | | | | | |
| Name of Reporting Person | Street Address | City | State | ZIP Code | Relationship of Reporting Person to Issuer | | | | | | | | | |
| Jennifer R Junker | 18000 Cantrell Road | Little Rock | AR | 72223 | Officer Description: Managing Director Trust and Wealth | | | | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Security | Transaction Date | Deemed Execution Date | Transaction Code | V | Amount of Securities Acquired or Disposed of | Price of Securities Acquired or Disposed of | Amount of Securities Beneficially Owned Following Reported Transactions | Ownership Form | Nature of Indirect Beneficial Ownership | | | | | |
| COMMON STOCK | 11/04/2021 | | I | | 2,476 Shares (D) | \$46.71 | 5262 Shares | Indirect | SHARES HELD IN 401K AT 11/05/2021 | | | | | |
| COMMON STOCK | | | | | | | 1720 Shares | Direct | | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | |
| Title of Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date | Deemed Execution Date | Transaction Code | V | Number of Derivative Securities Acquired or Disposed of | Date Exercisable | Expiration Date | Title of Underlying Securities | Amount of Underlying Securities | Price of Derivative Security | Number of Derivative Securities Beneficially Owned Following Reported Transactions | Ownership Form of Derivative Securities | Nature of Indirect Beneficial Ownership |
| There are no Derivative Securities | | | | | | | | | | | | | | |
| Explanation of Responses | | | | | | | | | | | | | | |
| * Signed by: <input style="width: 150px;" type="text"/> ✓ Date (mm/dd/yyyy): <input style="width: 100px;" type="text"/> ✓ | | | | | | | | | | | | | | |
| <small>The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St. NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation, Office of Thrift Supervision, 1700 G Street, NW, 5M3, Washington, DC 20552 (for OTS regulated savings institutions). The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number. * Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).</small> | | | | | | | | | | | | | | |
| Exhibit Information | | | | | | | | | | | | | | |
| There is no Power of Attorney information | | | | | | | | | | | | | | |
| There is no Other information | | | | | | | | | | | | | | |

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